

BOC Broker CI Form - Individual

Section B – Verification of Individual’s Identification Information			
Primary ID	<input type="checkbox"/> Passport		
Type 1 IDs Photographic ID (verify full name and date of birth or residential address of the individual)	<input type="checkbox"/> Licence of Permit – Australian (Driver’s, Boat, Taxi Licence)		
	<input type="checkbox"/> RTA Photo Card – Australian (the Proof of age card has been replaced since 13 Dec 2008)		
Type 2 IDs Non-photographic ID (verify name and/or date of birth or residential address of the individual)	<input type="checkbox"/> Citizenship Certificate - Australian		
	<input type="checkbox"/> Utility Bill – issued by Australian government or utilities provider with the last 3 months which contains customer’s name and residential address		
	<input type="checkbox"/> ATO Notice – issued within the last 12 months which contains customer’s name and residential address		
	<input type="checkbox"/> Financial Benefits Notice – issued by a Commonwealth, State or Territory government issued within the last 12 months which contains customer’s name and residential address (e.g. Centrelink notice)		
	<input type="checkbox"/> Bank statement issued by other Australian Bank in the last 6 months(online statements are not accepted)		
	<input type="checkbox"/> Medicare Card		
	<input type="checkbox"/> Debit Card – issued by an Australian Financial Institution		
	<input type="checkbox"/> Credit Card – issued by a Financial Institution		
	<input type="checkbox"/> Shooter’s/Firearms Licence - Australian		
Section C – Checklist			
The Mortgagor is different from the Borrower.			
<input type="checkbox"/> Yes, please complete a separate CI Form for the Mortgagor. <input type="checkbox"/> No			
The Mortgagor has appointed an Attorney to execute the mortgage(s).			
<input type="checkbox"/> Yes, please complete a separate CI Form for the Attorney. <input type="checkbox"/> No			
Please confirm the following before submitting the application to BOC	To be completed by Broker	To be reviewed by designated BOC Officers	
1. The above Sections have been completed as required.	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No
2. Name and address of the Attorney has been completed from the POA.	<input type="checkbox"/> Yes / <input type="checkbox"/> No / <input type="checkbox"/> N/A	<input type="checkbox"/> Yes / <input type="checkbox"/> No / <input type="checkbox"/> N/A	<input type="checkbox"/> Yes / <input type="checkbox"/> No / <input type="checkbox"/> N/A
3. The Borrower, Mortgagor (if different from Borrower), or Attorney (if any) ‘s information has been collected and verified as required.	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No
4. A CERTIFIED copy of the POA (if any) and each ID selected in the above Section B has been attached to this form.	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No
5. IDs that were collected from the individual have satisfied the requirement set out in “ID requirement” in the above Section B	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No
6. The Individual’s full name and date of birth and/or residential address have been verified through the IDs selected in the above Section B.	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Comments(if any)	Print Name: _____ Signature: _____ Date: _____	<input type="checkbox"/> L1 / <input type="checkbox"/> L2 / <input type="checkbox"/> L3 Staff ID:_____ Initial: _____ Date: _____	<input type="checkbox"/> L1 / <input type="checkbox"/> L2 / <input type="checkbox"/> L3 Staff ID:_____ Initial: _____ Date: _____
Please note that this application will NOT be further processed if the required information is not provided or cannot be verified.			

Acceptable Certifiers

Categories of Acceptable Certifiers	
<ol style="list-style-type: none"> 1. A Judge of a court 2. A magistrate 3. A person enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described) 4. A chief executive officer of a Commonwealth court 5. A registrar or deputy registrar of a court 6. An Australian police officer 7. Justice of the Peace 8. A notary public (for the purposes of the Statutory Declaration Regulations 1993) 9. A finance company officer with 2 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993) 10. An officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees 11. A permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public 12. An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public 	<ol style="list-style-type: none"> 13. An officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993) 14. An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955) 15. A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership 16. An officer with, or a credit representative of, a holder of an Australian credit licence, having 2 or more years of continuous service with one or more licensees. 17. A Bank of China Group employee who is authorised by the bank to carry out customer identification certification 18. A person that is appointed by the Bank to carry out customer identification certification
<p>Note to the Certifier:</p> <p>The wording of the certification should be as follows:</p> <ul style="list-style-type: none"> • Certifying a single page of document: <p style="margin-left: 20px;">“I certify that this is a true copy of the original document shown to me”</p> <p style="margin-left: 20px;">Signed.....</p> <p style="margin-left: 20px;">Full Name.....</p> <p style="margin-left: 20px;">Capacity (e.g. JP).....</p> <p style="margin-left: 20px;">Dated.....</p> • Certifying a document with more than one page: <p style="margin-left: 20px;">“I certify that this and the following [insert number of pages] pages (each of which I have signed/initialled) to be a true copy of the document shown to me as the original”</p> <p style="margin-left: 20px;">Signed.....</p> <p style="margin-left: 20px;">Full Name.....</p> <p style="margin-left: 20px;">Capacity (e.g. JP).....</p> <p style="margin-left: 20px;">Dated.....</p> 	